

Preparation of Chiral Hydroxy Esters Using Actinobacteria: Biocatalyst Activity of Marine-Derived *Micromonospora* and *Streptomyces* Strains

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ABSTRACT

To research the potential ability of marine-derived actinomycetes to act as biocatalysts, 8 *Micromonospora* strains and 5 *Streptomyces* strains were screened. Two recommended media (227 and 1076 media) and 2 modified media (1076-25% and P-1076-25% media) for liquid culture of these marine-derived actinomycetes were tested. As a result, 2 *Micromonospora* strains (*Micromonospora* sp. NBRC107096 and 107097) cultured with the 1076-25% medium and 2 *Streptomyces* strains (*Streptomyces tateyamensis* NBRC105048 and *Streptomyces* sp. NBRC105896) cultured with P-1076-25% medium showed a good growth. The stereoselective reduction of α -keto esters using these 4 actinomycetes was tested. As a result, it was found that these strains had a reducing activity toward various α -keto esters. The introduction of L-glutamate or sucrose as an additive remarkably increased the conversion ratios in the reduction of substrates by the *Micromonospora* strain. Furthermore, in the presence of L-alanine, *Streptomyces tateyamensis* NBRC105048 reduced ethyl pyruvate, ethyl 2-oxobutanoate, ethyl 2-oxopentanoate, ethyl 2-oxohexanoate, and ethyl 3-methyl-2-oxobutyrate to the corresponding α -hydroxy ester with a high conversion ratio and with excellent enantiomeric excess. Thus, we found that these marine-derived actinomycetes have great potential to be used as biocatalysts for stereoselective reduction of carbonyl compounds.

Keywords: Marine Bacteria; *Micromonospora*; *Streptomyces*; Biocatalyst; Chiral Alcohol

1. Introduction

Actinobacteria comprise one of the largest taxonomic units among the 18 major lineages currently recognized within the domain Bacteria, which consists of 5 subclasses and 14 suborders [1,2]. Among the 5 subclasses, Actinobacteria (commonly called actinomycetes) are widely distributed in soil and are well known to produce secondary metabolites [3-6], notably antibiotics [7], antitumor agents [8], immunosuppressive agents [9] and industrially important enzymes [10]. Furthermore, actinomycetes also have a capacity for biotransformation; therefore, they are used as biocatalysts for the preparation of chiral alcohols from carbonyl compounds [11-14]. Thus, actinomycetes are medically, pharmacologically, and industrially useful.

Many actinomycetes have been isolated and screened from soil in the last few decades [15]. Recently, the rate of novel metabolites discovery from terrestrial actinomycetes has decreased significantly [16], therefore the

search for marine actinomycetes, including free-living and marine invertebrate-associated actinomycetes, has attracted attention as an alternative approach [17-20]. For example, it was found that strains of *Salinispora*—marine actinomycetes—produce useful bioactive compounds such as arenimycin and salinosporamide A [21,22]. Moreover, it has been reported that *Salinispora* strains reduce toward α -keto esters and their derivatives stereoselectively [23]. Thus, new applications of marine actinomycetes are expected from the viewpoint of asymmetric syntheses of bioactive substances. However, the potential biocatalyst activity of other marine-derived actinomycetes has not been investigated.

This study describes the stereoselective reduction of α -keto esters by marine-derived *Micromonospora* and *Streptomyces* strains as novel biocatalysts (Figure 1).

2. Materials and Methods

2.1. Instruments and Chemicals

Gas chromatography was performed using GL Science

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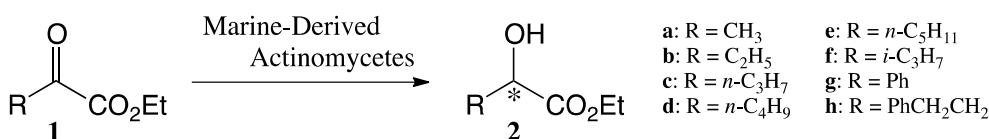


Figure 1. The reduction of α -Keto esters (1a-h) by marine-derived actinomycetes.

GC-353 gas chromatographs (GL Science Inc., Tokyo, Japan) equipped with capillary columns (DB-Wax, Agilent Technologies, Santa Clara, CA, USA, 0.25 μm , 0.25 mm \times 30 m; TC-1, GL Science Inc., 0.25 μm , 0.25 mm \times 30 m; CP-Chirasil-DEX CB, Varian Inc., Lake Forest, CA, USA, 0.25 μm , 0.25 mm \times 25 m; Gamma DEX 225, Sigma-Aldrich Co., St. Louis, MO, USA, 0.25 μm , 0.25 mm \times 30 m). Ethyl pyruvate (**Figure 1, 1a**), diatomaceous earth (granular), polypepton, L-alanine, and Daigo's artificial seawater SP were purchased from Wako Pure Chemical Industries Ltd., Osaka, Japan. Difco™ soluble starch and Bacto™ yeast extract were purchased from Becton, Dickinson and Co., Franklin Lakes, NJ, USA. Ethyl lactate (**2a**), ethyl 3-methyl-2-oxobutyrate (**1f**), ethyl 2-oxo-4-phenylbutyrate (**1h**), ethyl 2-hydroxy-4-phenylbutyrate (**2h**), and beef extract were purchased from Sigma-Aldrich. Ethyl benzoylformate (**1g**) and ethyl mandelate (**2g**) were obtained from Tokyo Chemical Industry, Co. Ltd., Tokyo, Japan. Ethyl 2-oxobutanoate (**1b**), ethyl 2-oxopentanoate (**1c**), ethyl 2-oxohexanoate (**1d**), ethyl 2-oxoheptanoate (**1e**), and α -hydroxy esters (**2b-f**) were prepared according to procedures in the literature [24].

2.2. Microorganisms and Culture

Micromonospora sp. NBRC107092, 107093, 107094, 107095, 107096, 107097, 107098, 107099 (from marine sponge), *Streptomyces hygrosopicus* subsp. *Hygrosopicus* NBRC100580 (from marine fish), *Streptomyces marinus* NBRC105047 (from marine sponge), *Streptomyces tateyamensis* NBRC105048 (from marine sponge), *Streptomyces haliclona* NBRC105049 (from marine sponge), and *Streptomyces* sp. NBRC105896 (from marine sponge) were purchased from the National Institute of Technology and Evaluation, Biological Resource Center, Japan (NBRC). These marine-derived actinomycetes were each maintained in NBRC-recommended medium (227, 228, 1076, and 1077) solidified with 1.5% agar.

The 227 medium (ISP medium No. 2) comprised 4.0 g of Bacto™ yeast extract, 10.0 g of Bacto™ malt extract, and 4.0 g of D-glucose per 1 liter of distilled water (pH 7.3). The 228 medium comprised 1.0 g of Bacto™ yeast extract, 1.0 g of beef extract, 2.0 g of NZ amine, type A, and 10.0 g of D-glucose per 1 liter of distilled water (pH 7.3). The 325 medium (75% marine water) is comprised

of 10.0 g of polypepton, 2.0 g of Bacto™ yeast extract, 0.5 g of MgSO₄·7H₂O, and 27.0 g of Daigo's artificial seawater SP per 1 liter of distilled water (pH 7.3). The 1076 medium (ISP No. 2, 50% marine water) comprised 4.0 g of Bacto™ yeast extract, 10.0 g of Bacto™ malt extract, 4.0 g of D-glucose, and 18.0 g of Daigo's artificial seawater SP per 1 liter of distilled water (pH 7.2). The 1077 medium (50% marine water) comprised 2.0 g of Bacto™ yeast extract, 10.0 g of Difco™ soluble starch, and 18.0 g of Daigo's artificial seawater SP per 1 liter of distilled water (pH 7.2). The 1076-25% medium (ISP No. 2, 25% marine water) comprised 4.0 g of Bacto™ yeast extract, 10.0 g of Bacto™ malt extract, 4.0 g of D-glucose, and 9.0 g Daigo's artificial seawater SP per 1 liter of distilled water (pH 7.3). The P-1076-25% medium comprised 10.0 g of polypepton, 4.0 g of Bacto™ yeast extract, 10.0 g of Bacto™ malt extract, 4.0 g of D-glucose, and 9.0 g of Daigo's artificial seawater SP per 1 liter of distilled water (pH 7.3).

Micromonospora strains were grown in 227, 1076, 1076-25%, and P-1076-25% media (500 mL) for 3 days at 25°C with aerobic shaking in baffled 2-L flasks in the dark. The *Streptomyces* strains were grown in 325, 1076, 1076-25%, and P-1076-25% media (500 mL) for 5 days at 25°C with aerobic shaking in baffled 2-L flasks in the dark. The marine actinomycete cells were harvested by filtration on filter paper (Whatman, No. 4) *in vacuo* and washed with saline (0.85% NaCl aq.).

2.3. Mreduction of α -Keto Esters with Marine Actinomycetes Whole Cells

Saline-washed wet cells (0.5 g, dry weight approximately 0.2 g) were resuspended in a large test tube (ϕ 30 mm \times 200 mm) containing 20 mL of saline. The substrate (0.15 mmol; corresponding substrate concentration was 7.5 mM) and additive (5.0 mmol) were added, and the reaction mixture was incubated aerobically (reciprocating shaking at 120 min⁻¹) at 25°C. A portion (0.5 mL) of the mixture was filtered using a short diatomaceous earth column (ϕ 10 mm \times 30 mm), extracted with diethyl ether (5.0 mL), and then concentrated under reduced pressure.

2.4. Microorganisms and Culture

Conversions of the produced alcohols (**Figure 1, 2a-h**) was measured using a GLC with a DB-WAX capillary column (100 kPa He, 110°C; **1a**, 3.78 min; **2a**, 4.75 min;

1b, 4.73 min; **2b**, 5.92 min; **1f**, 4.54 min; **2f**, 6.41 min; 120°C; **1c**, 4.84 min; **2c**, 6.45 min; 150°C, **1d**, 3.83 min; **2d**, 4.68 min; **1e**, 4.78 min; **2e**, 6.07 min; 180°C, **1g**, 9.01 min; and **2g**, 12.08 min) or a TC-1 capillary column (100 kPa He, 140°C; **1h**, 10.02 min; and **2h**, 10.96 min).

The enantiomeric excess (e.e.) of the product was measured using a GLC equipped with an optically active CP-Chirasil-DEX CB (**2a-e**, **2g-h**) or Gamma DEX 225 capillary column (**2f**). The e.e. was calculated according to the following formula: e.e. (%) = $\{(R - S)/(R + S)\} \times 100$. These *R* and *S* are the respective peak areas on GLC analyses. The absolute configurations of α -hydroxy esters (**2a-h**) were identified by comparing their retention times from the GLC analyses with those of authentic samples [24].

3. Results and Discussion

3.1. Screening of Marine-Derived Actinomycetes and Culture Media

To search for a suitable medium for the liquid culture, the amount of wet cells obtained by cultivating of marine actinomycetes in various culture media was measured. The NBRC-recommended medium for 8 *Micromonospora* strains tested in this study was the 227 medium. *Micromonospora* strains cultured in the 227 medium, with the exception of NBRC 107094 and 107095, produced 5.0 g or less of the wet cells (**Table 1**). To improve the culture rate in the 227 medium, 3 new culture media (1076, 1076-25%, and P-1076-25% medium) containing marine water were tested for efficacy (1076-25% and P-1076-25% media were newly designed in this study).

In the cultivation of these 8 strains using the 1076 medium including marine water (50% concentration), although over 6.0 g of wet cells of the NBRC107096 strain were obtained, 2.0 g or less of wet cells were obtain-

ed for the NBRC107093, 107095, 107097 and 107098 strains. On the other hand, we obtained over 7.0 g of wetcells when the NBRC107096 and 107097 strains were cultured in the 1076-25% medium (25% marine water). Furthermore, to obtain more wet cells, *Micromonospora* strains were cultured in P-1076-25% medium including polypepton (25% marine water); however, 5.0 g of wet cells was not obtained.

In a similar manner, a suitable medium for the liquid culture of 5 marine-derived *Streptomyces* strains was determined (**Table 2**). We found that the P-1076-25% medium is most suitable for the liquid culture of the *Streptomyces* strains; in particular, the culture of *Streptomyces* sp. and *S. tateyamensis* yielded over 5.0 g of wet cells. In contrast, *Streptomyces marinus* did not show good growth in any culture medium (less than 1.0 g of wet cells was obtained).

Therefore, we investigated the possibility that 2 *Micromonospora* strains (NBRC107096 and 107097) and 2 *Streptomyces* strains (*Streptomyces tateyamensis* NBRC105048 and *Streptomyces* sp. NBRC105896) can act as biocatalysts for the asymmetric reduction of carbonyl compounds.

3.2. Reduction of α -Keto Esters by Marine-Derived *Micromonospora* Strains

Two *Micromonospora* strains (NBRC107096 and 107097) were tested for their ability to reduce α -keto esters (**Figure 1**). The results of the α -keto ester reductions are summarized in **Table 3**. We found that both *Micromonospora* strains reduced aliphatic and aromatic α -keto esters (**1a-h**) to the corresponding alcohols (**2a-h**). The reduction of α -keto esters by the NBRC107097 strain exhibited a higher conversion ratio than the reduction by the NBRC107096 strain; in particular, the NBRC107097

Table 1. The cultivation of marine-derived *Micromonospora* strains in various culture media.

Strains	NBRC	227	1076	1076-25%	P-1076-25%
		Wet cells (g)	Wet cells (g)	Wet cells (g)	Wet cells (g)
<i>Micromonospora</i> sp.	107092	3.6	3.0	5.4	0.5
<i>Micromonospora</i> sp.	107093	4.1	1.0	5.4	2.5
<i>Micromonospora</i> sp.	107094	5.8	4.2	5.1	5.0
<i>Micromonospora</i> sp.	107095	5.4	0.6	5.8	3.3
<i>Micromonospora</i> sp.	107096	1.6	6.6	7.4	1.8
<i>Micromonospora</i> sp.	107097	4.7	1.6	8.0	1.2
<i>Micromonospora</i> sp.	107098	0.1	<0.1	1.6	2.5
<i>Micromonospora</i> sp.	107099	1.0	2.6	3.9	1.0

Table 2. The cultivation of marine-derived *Streptomyces* strains in various culture media.

Strains	NBRC	325	1076	1076-25%	P-1076-25%
		Wet cells (g)	Wet cells (g)	Wet cells (g)	Wet cells (g)
<i>Streptomyces hygroscopicus</i> subsp. <i>hygroscopicus</i>	100580	0.1	1.8	1.9	2.1
<i>Streptomyces marinus</i>	105047	0.4	0.5	0.5	0.7
<i>Streptomyces tateyamensis</i>	105048	4.1	5.5	5.6	9.6
<i>Streptomyces haliclona</i>	105049	0.8	1.1	1.4	1.9
<i>Streptomyces</i> sp.	105896	3.3	2.5	2.6	5.1

Table 3. The reduction of α -keto esters (1a-h) to the corresponding alcohols (2a-h) by 4 marine-derived actinomycetes.

Product	<i>Micromonospora</i> sp. ¹			<i>Micromonospora</i> sp. ¹			<i>Streptomyces tateyamensis</i> ²			<i>Streptomyces</i> sp. ²		
	NBRC107096			NBRC107097			NBRC105048			NBRC105896		
	conv. (%) ³	e.e. (%) ⁴	(<i>R/S</i>) ⁴	conv. (%) ³	e.e. (%) ⁴	(<i>R/S</i>) ⁴	conv. (%) ³	e.e. (%) ⁴	(<i>R/S</i>) ⁴	conv. (%) ³	e.e. (%) ⁴	(<i>R/S</i>) ⁴
2a	>99	97	<i>S</i>	>99	92	<i>S</i>	94	>99	<i>S</i>	>99	>99	<i>S</i>
2b	89	73	<i>S</i>	>99	86	<i>S</i>	97	72	<i>R</i>	>99	>99	<i>S</i>
2c	>99	79	<i>S</i>	>99	84	<i>S</i>	>99	>99	<i>S</i>	>99	>99	<i>S</i>
2d	>99	80	<i>S</i>	>99	72	<i>S</i>	97	>99	<i>S</i>	>99	65	<i>S</i>
2e	71	75	<i>S</i>	67	71	<i>S</i>	15	76	<i>R</i>	91	7	<i>S</i>
2f	93	13	<i>S</i>	>99	18	<i>R</i>	>99	28	<i>R</i>	>99	43	<i>S</i>
2g	>99	70	<i>S</i>	65	67	<i>S</i>	19	6	<i>S</i>	>99	11	<i>S</i>
2h	73	18	<i>R</i>	>99	27	<i>R</i>	>99	>99	<i>S</i>	>99	47	<i>S</i>

¹Substrate (0.15 mmol) and 0.85% NaCl aq. (20 ml) were added to the wet cells (0.5 g) cultured in 1076-25% medium, and the reaction mixture was incubated aerobically (reciprocating shaking at 120 min⁻¹) at 25°C for 48 hrs. ²Substrate (0.15 mmol) and 0.85% NaCl aq. (20 ml) were added to the wet cells (0.5 g) cultured in P-1076-25% medium, and the reaction mixture was incubated aerobically (reciprocating shaking at 120 min⁻¹) at 25°C for 48 hrs. ³Conversion was measured by a GLC analysis. ⁴Enantiomeric excess (e.e.) and absolute configuration (*R/S*) were determined by GLC analyses with optically active capillary columns.

strain had high reducing activity for substrates that had a short alkyl chain.

However, except for ethyl pyruvate (**1a**), the stereoselectivity of the produced alcohols was not high (13% - 80% e.e.). In the microbial reduction of carbonyl compounds using bakers' yeast or filamentous fungi (eukaryote), it is well known that the introduction of small organic molecules or metal ions will increase the stereoselectivity of the produced alcohols [25-27]. In contrast, in the reduction using actinomycetes (prokaryote), there are several reports that the addition of amino acids or sugars is effective in improving the conversion rate and stereoselectivity of products [12-14,23].

Therefore, the effect of additives on the reduction of keto esters using marine actinomycetes was investigated (see **Table 4**). Among various additives (e.g., sugars such as glucose, fructose, sucrose, and maltose or amino acids such as L-alanine, L-glycine, L-glutamate, and L-aspartate), the introduction of L-glutamate or sucrose remarkably increased the conversion ratio of the reduc-

tion. In particular, the reduction by the NBRC107096 strain in the presence of L-glutamate gave the corresponding α -hydroxy ester with >99% conversion ratio for all substrates. It appears that the increase in reduced nicotinamide-adenine dinucleotide (possibly NADPH) through the oxidative degradation of L-glutamate accelerates the reduction of α -keto esters to the corresponding alcohols.

3.3. Reduction of α -Keto Esters by Marine-Derived *Streptomyces* Strains

Two *Streptomyces* strains (NBRC105048 and 105896) were tested for their ability to reduce α -keto esters. As shown in **Table 3**, we found that α -keto esters (**1a-h**) were reduced by both *Streptomyces* strains to the corresponding hydroxy esters (**2a-h**). The NBRC105,896 strain had high reducing activity for α -keto esters when compared with the NBRC105,048 strain and showed excellent conversion ratios (>99% in **2a-d** and **2f-h**). Fur-

Table 4. Effects of additives on the reduction of α -keto esters (1a-h) with marine-derived *Micromonospora* strains¹.

Product	<i>Micromonospora</i> sp. NBRC107096						<i>Micromonospora</i> sp. NBRC107097					
	L-glutamate			Sucrose			L-glutamate			Sucrose		
	conv. (%) ²	e.e. (%) ³	(<i>R/S</i>) ³	conv. (%) ²	e.e. (%) ³	(<i>R/S</i>) ³	conv. (%) ²	e.e. (%) ³	(<i>R/S</i>) ³	conv. (%) ²	e.e. (%) ³	(<i>R/S</i>) ³
2a	>99	86	<i>S</i>	>99	89	<i>S</i>	>99	>99	<i>S</i>	>99	91	<i>S</i>
2b	>99	>99	<i>S</i>	>99	78	<i>S</i>	>99	>99	<i>S</i>	>99	81	<i>S</i>
2c	>99	85	<i>S</i>	>99	87	<i>S</i>	>99	52	<i>S</i>	>99	87	<i>S</i>
2d	>99	62	<i>S</i>	95	83	<i>S</i>	>99	76	<i>S</i>	>99	85	<i>S</i>
2e	>99	27	<i>S</i>	55	94	<i>S</i>	>99	76	<i>S</i>	59	77	<i>S</i>
2f	>99	22	<i>S</i>	>99	10	<i>S</i>	>99	26	<i>S</i>	>99	14	<i>S</i>
2g	>99	78	<i>S</i>	43	75	<i>S</i>	99	74	<i>S</i>	42	60	<i>S</i>
2h	>99	28	<i>R</i>	46	8	<i>R</i>	99	8	<i>R</i>	89	10	<i>R</i>

¹Substrate (0.15 mmol), additive (5.0 mmol), and 0.85% NaCl aq. (20 ml) were added to the wet cells (0.5 g) cultured in 1076-25% medium, and the reaction mixture was incubated aerobically (reciprocating shaking at 120 min⁻¹) at 25°C for 48 hrs. ²Conversion was measured by a GLC analysis. ³Enantiomeric excess (e.e.) and absolute configuration (*R/S*) were determined by GLC analyses with optically active capillary columns.

Table 5. Effects of additives on the reduction of α -keto esters (1a-h) with marine-derived *Streptomyces* strains¹.

Product	<i>Streptomyces tateyamensis</i> NBRC105048						<i>Streptomyces</i> sp. NBRC105896					
	L-alanine			Sucrose			L-alanine			Sucrose		
	conv. (%) ²	e.e. (%) ³	(<i>R/S</i>) ³	conv. (%) ²	e.e. (%) ³	(<i>R/S</i>) ³	conv. (%) ²	e.e. (%) ³	(<i>R/S</i>) ³	conv. (%) ²	e.e. (%) ³	(<i>R/S</i>) ³
2a	>99	>99	<i>S</i>	>99	>99	<i>S</i>	>99	56	<i>S</i>	>99	>99	<i>S</i>
2b	>99	>99	<i>S</i>	>99	43	<i>S</i>	>99	>99	<i>S</i>	>99	>99	<i>S</i>
2c	>99	>99	<i>S</i>	>99	>99	<i>S</i>	>99	50	<i>S</i>	>99	56	<i>S</i>
2d	>99	>99	<i>S</i>	>99	>99	<i>S</i>	69	61	<i>S</i>	73	61	<i>S</i>
2e	40	>99	<i>S</i>	>99	>99	<i>S</i>	58	49	<i>S</i>	60	48	<i>S</i>
2f	>99	>99	<i>R</i>	>99	43	<i>R</i>	90	26	<i>R</i>	>99	33	<i>R</i>
2g	80	17	<i>R</i>	>99	75	<i>R</i>	93	2	<i>S</i>	59	18	<i>R</i>
2h	71	82	<i>S</i>	48	32	<i>S</i>	58	3	<i>S</i>	59	43	<i>S</i>

¹Substrate (0.15 mmol), additive (5.0 mmol), and 0.85% NaCl aq. (20 ml) were added to the wet cells (0.5 g) cultured in P-1076-25% medium, and the reaction mixture was incubated aerobically (reciprocating shaking at 120 min⁻¹) at 25°C for 48 hrs. ²Conversion was measured by a GLC analysis. ³Enantiomeric excess (e.e.) and absolute configuration (*R/S*) were determined by GLC analyses with optically active capillary columns.

thermore, the reduction of **1a-c** using the NBRC105,896 strain gave the corresponding (*S*)-hydroxy esters exclusively (>99% e.e.).

An additive was introduced to the reaction mixture to improve the conversion ratio and stereoselectivity (see **Table 5**). As a result, the reduction of substrates using the NBRC105,048 strain in the presence of L-alanine or sucrose produced the corresponding alcohols with high conversion ratios (L-alanine, >99%, in **2a-d**, **2f**; sucrose, >99%, in **2a-g**). In particular, the introduction of L-alanine improved not only the conversion rate but also the stereoselectivity of the products (>99% e.e. in **2a-f**). The effects of other additives (L-glycine, L-glutamate,

L-aspartate glucose, fructose, and maltose) were tested; however, the stereoselectivity of the produced alcohols did not increase (data not shown). In contrast, in the reduction by the NBRC105,896 strain, the conversion ratio was decreased by the introduction of the additive. This decrease in the conversion ratio was not expected.

4. Conclusion

Various α -keto esters were converted to the corresponding α -hydroxy esters by marine-derived actinomycetes. On the basis of the reduction conversion rates and the enantioselectivity of the products, we suggest that *Strep-*

tomyces tateyamensis NBRC105048 and *Micromonospora* sp. NBRC107096 are potential biocatalysts for the stereoselective reduction of keto esters to obtain chiral hydroxy esters.

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